

PROFESSIONAL CLIENTS

(a) Equities – Shares & Depositary Receipts / (i) Tick size liquidity bands 5 and 6 (from 2000 trades per day)

Class of Instrument	(a) Equities - Shares & Depositary Receipts / (i) Tick size liquidity bands 5 and 6 (from 2000 trades per day)				
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in the class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
AK Jensen Limited	77.56%	83.41%	n/a	n/a	n/a
LEI: 213800QH1824YW4EQ006					
Credit Suisse (UK) Limited	15.18%	15.30%	n/a	n/a	n/a
LEI: 549300GUAMON5OX20054					
Winterflood Securities Limited	3.30%	0.57%	n/a	n/a	n/a
LEI: 8BRUP6V1DX3PIG2R0745					
Shore Capital Stockbrokers Limited	1.65%	0.12%	n/a	n/a	n/a
LEI: 2138009KZO3MK7TV6372					
Numis Securities Limited	0.66%	0.11%	n/a	n/a	n/a

NOTES:

MIC = market identifier code

LEI = legal entity identifier

"Passive Order"

Is defined by an order entered into the order book that provided liquidity

"Aggressive Order"

Is defined by an order entered into the order book that took liquidity

"Direct Order"

Is an order where a specific execution venue was specified by the client prior to the execution of the order

"Volume Traded"

Investment Association: "by volume we understand the regulation to mean market value."

"Orders Executed"

A deal in a specific stock with a specific broker; number of orders